

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<b>APPLICANT:</b>	<b>Terrence SICK</b>	<b>GROUP:</b>	3693
<b>APPLICATION:</b>	<b>09/965,071</b>	<b>EXAMINER:</b>	S. Chandler
<b>FILED:</b>	September 27, 2001	<b>CONFIRMATION:</b>	2718
<b>FOR:</b>	<b>COMPUTER-BASED SYSTEM AND METHOD FOR SEARCHING AND SCREENING FINANCIAL SECURITIES AND RELEVANT INTELLECTUAL PROPERTY</b>		

Honorable Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**AMENDMENT / RESPONSE UNDER 37 CFR 1.111 ACCOMPANYING A  
REQUEST THAT PROSECUTION BE RE-OPENED IN RESPONSE TO A  
BOARD DECISION UNDER 37 CFR 41.50(a)(i)**

In response to the Board Decision dated June 10, 2009, by submission of the response/amendments filed herewith, the Applicants request that prosecution be reopened and the enclosed amendments be entered and the above-identified application be advanced for allowance as follows:

Amendments to the Claims are found beginning at page 2, where the LISTING OF CLAIMS to replace all prior versions and listings is found.

In the event that additional fees are required as a result of this response, including fees for extensions of time, such fees should be charged to USPTO Deposit Account No. 50-2737 for Basch & Nickerson LLP.

In the event the Examiner considers personal contact advantageous to the timely disposition of this case, the Examiner is hereby authorized to call Applicant's attorney, Duane C. Basch, at Telephone Number (585) 899-3970, Penfield, New York.